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PTO/SB/05 (12/97)

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11/17/98
JCS 42 U.S. PTO

A

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 003838.P001

Total Pages 5

First Named Inventor or Application Identifier Mark Gainey

Express Mail Label No. EL164802104US

JCS 42 U.S. PTO
09/193833
11/17/98
B

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification (Total Pages 35)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. Drawings(s) (35 USC 113) (Total Sheets 11)
4. Oath or Declaration (Total Pages)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a. Computer Readable Copy
- b. Paper Copy (identical to computer copy)
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & documents(s))

9. a. 37 CFR 3.73(b) Statement (where there is an assignee)

b. Power of Attorney

10. English Translation Document (if applicable)

11. a. Information Disclosure Statement (IDS)/PTO-1449

b. Copies of IDS Citations

12. Preliminary Amendment

13. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

14. a. Small Entity Statement(s)

b. Statement filed in prior application, Status still proper and desired

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. X Other: Express mail Certificate; Unsigned Declaration & Power of Attorney

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____

18. **Correspondence Address**

Customer Number or Bar Code Label

(Insert Customer No. or Attach Bar Code Label here)

or

X Correspondence Address Below

NAME Dag H. Johansen (Reg. No. 36,172)

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8598 FAX (408) 720-9397

FEE TRANSMITTALTOTAL AMOUNT OF PAYMENT (\$) 790.00

Complete if Known:

Application No. _____

Filing Date _____

First Named Inventor Mark Gainey

Group Art Unit _____

Examiner Name _____

Attorney Docket No. 003838.P001**METHOD OF PAYMENT** (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name _____ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. Payment Enclosed

 Check Money Order Other**FEE CALCULATION** (fees effective 10/01/97)**1. FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>				
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	<u>Fee Description</u>	<u>Fee Paid</u>
101	790	201	395	Utility application filing fee	<u>790.00</u>
106	330	206	165	Design application filing fee	_____
107	540	207	270	Plant filing fee	_____
108	790	208	395	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____
SUBTOTAL (1)		\$790.00			

2. CLAIMS

		<u>Extra</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>11</u>	<u>- 20</u> = <u>0</u>	X <u>22.00</u>	= _____
Independent Claims	<u>2</u>	<u>- 3</u> = <u>0</u>	X <u>82.00</u>	= _____
Multiple Dependent Claims			X _____	= _____

<u>Large Entity</u>	<u>Small Entity</u>				
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	<u>Fee Description</u>	<u>Fee Paid</u>
103	22	203	11	Claims in excess of twenty	_____
102	82	202	41	Independent claims in excess of 3	_____
104	270	204	135	Multiple dependent claim	_____
109	82	209	41	Reissue independent claims ver original patent	_____
110	22	210	11	Reissue claims in excess f 20 and ver original patent	_____
SUBTOTAL (2)		\$0.00			

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for response within first month
116	400	216	200 Extension for response within second month
117	950	217	475 Extension for response within third month
118	1,510	218	755 Extension for response within fourth month
128	2,060	228	1,030 Extension for response within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive unavoidably abandoned application
141	1,320	241	660 Petition to revive unintentionally abandoned application
142	1,320	242	660 Utility issue fee (or reissue)
143	450	243	225 Design issue fee
144	670	244	335 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Petitions related to provisional applications
126	240	126	240 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	790	246	395 For filing a submission after final rejection (see 37 CFR 1.129(a))
149	790	249	395 For each additional invention to be examined (see 37 CFR 1.129(a))

Other fee (specify) _____

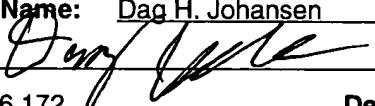
Other fee (specify) _____

SUBTOTAL (3) \$0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Dag H. Johansen

Signature 

Date 11/17/98

Reg. Number 36,172

Deposit Account User ID

02-2666

(complete if applicable)

EXPRESS MAIL CERTIFICATE OF MAILING

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I hereby certify that I am causing this paper or fee to
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GRACE R LEVZ
11/17/98
(Date signed)

Serial/Patent No.: <u>003838, P001</u>	Filing/Issue Date: _____
Client: <u>KANA COMMUNICATIONS</u>	Atty/Secty Initials: <u>DHJ/grc</u>
Title: <u>METHOD AND APPARATUS FOR PERFORMING ENTERPRISE EMAIL MANAGEMENT</u>	Docket Due Date: _____
BSTZ File No.: <u>003838, P001</u>	Atty/Secty Initials: <u>DHJ/grc</u>
Date Mailed: <u>11/17/98</u>	Docket Due Date: _____
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<input checked="" type="checkbox"/> Express Mail No.: <u>E164802104US</u> <input checked="" type="checkbox"/> Check No. <u>35893</u>	
<input type="checkbox"/> _____ Month(s) Extension of Time <input type="checkbox"/> Amt: <u>770</u>	
<input type="checkbox"/> Information Disclosure Statement & PTO-149 (<u>35</u> pgs.) <input type="checkbox"/> Check No. _____	
<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Amt: _____	
<input type="checkbox"/> Notice of Appeal <input type="checkbox"/>	
<input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/>	
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<input checked="" type="checkbox"/> Postcard <input type="checkbox"/>	
<input type="checkbox"/> Power of Attorney (<u>5</u> pgs.) <input type="checkbox"/>	
<input type="checkbox"/> Preliminary Amendment (<u>5</u> pgs.) <input type="checkbox"/>	
<input type="checkbox"/> Reply Brief (<u>5</u> pgs.) <input type="checkbox"/>	
<input type="checkbox"/> Response to Notice of Missing Parts <input type="checkbox"/>	
<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business <input type="checkbox"/>	
<input checked="" type="checkbox"/> Transmittal Letter, in duplicate <input type="checkbox"/>	
<input checked="" type="checkbox"/> Fee Transmittal, in duplicate <input type="checkbox"/>	
<input type="checkbox"/> Other: _____	